# Max David Gupta

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## **EDUCATION**

Princeton University

M.S.E, Computer Science August 2024–Present Graduate researcher in the machine learning department under the supervision of Prof. Tom Griffiths (AI Lab and Computational Cognitive Science lab).

**Relevant Coursework:** Machine Learning and Pattern Recognition, Foundations of Probabilistic Modeling, AI Safety, Cognitive Psychology, Great Moments in Computing

Research Interests: Relational reasoning, metalearning, and inductive biases in neural networks

#### **Columbia University**

B.A, Applied Mathematics GPA: 3.51 — Major GPA: 3.71 New York, NY September 2017–May 2021

Princeton, NJ

**Relevant Coursework:** Natural Language Processing, Applied Deep Learning, Data Structures, Discrete Math, Linear Algebra, Intro to Statistics, Discrete Math, Complex Variables, Analysis and Optimization, Calculus III & IV

### **PRESENTATIONS & PAPERS**

- Gupta M., Rane S., McCoy T., Griffiths T. Convolutional Neural Networks Can (Meta)-Learn the Same-Different Relation, To Appear in the Proceedings of the 47th Annual Conference of the Cognitive Science Society
- Bencomo G., **Gupta M.**, Marinescu I., McCoy T., Griffiths T. *Teasing Apart Architecture and Initial Weights as Sources of Inductive Bias in Neural Networks*, To Appear in the Proceedings of the 47th Annual Conference of the Cognitive Science Society
- Gupta, M., Franke M., Hawkins R. Pragmatic Vigilance Inoculates Social Networks Against Misinformation, Pre-print, under review
- Azizi E., Azad T., **Gupta M.**, Nazaret A. Ensembling in Variational Autoencoder Architectures for Effective Posterior Distribution of Cell State Estimation. Presentation: Computational Cancer Lab, Columbia University: May 2021
- Gupta M., Nika J., Carsault T. *Multi-Step Chord Prediction for Human-AI Improvisation*. Presentation: Columbia Undergraduate Research Symposium: September 2020
- Gupta M., Malt M. *Musical Markov Chains*. Presentation: Reid Hall Research Seminar, Paris, France: May 2020

# AWARDS & HONORS

- Hackathon Winner: Cognitive Modeling of Humans v<br/>s. Large Language Models, University of Tübingen-2023
- Dean's List Columbia University 2020–2021
- Heinrich Research Fellowship, Columbia University (\$2500) 2020
- Spritz Family Research Grant, Columbia University (\$3000) 2020
- PSAT National Commended Scholar 2017
- Canadian Gold Medalist in both French (2016–2017) and Latin (2014–2017) National Language Exams
- Greville Smith Scholarship, McGill University (\$48,000, not availed) 2017
- Hugh M. Brock National Entrance Scholarship, University of British Columbia (\$30,400, not availed) 2017

### **RESEARCH EXPERIENCE**

Max Planck Institute – Center For Humans and Machines	Berlin, Germany
AI Behaviorist - Research Assistant	May–August 2024

- Engineered online JS experiments to simulate multi-generational human–Large Language Model (LLM) communications in transmission networks of varying sizes and types. Built full-stack applications for research published in human-AI interaction conferences.
- Contributed visualizations and NLP analyses of public sentiments on AI risks across 400 human participants from India and the US. Compared attitudes via sociological coding.

University of Tübingen - Computational Pragmatics Lab	Tübingen, Germany
Research Intern – Supervisors: Michael Franke, Robert Hawkins, Charley Wu	Oct 2023–Present

- Built a multi-agent extension of the Rational Speech Act (RSA) framework to study the effects of Bayesian belief updating of persuasive social goals on belief diffusion in social networks. Simulated multi-agent RSA communications in random networks using Python and R. Derived conditions for belief convergence under varying network topologies and agent actions.
- First-authored a paper (under review) illustrating conditions under which listener vigilance inoculates networks against misinformation.

Columbia University - Azizi Lab Research Assistant - Supervisor: Elham Azizi

- Analyzed the effects of aggregating variational auto-encoder (VAE) outputs to form ensembled predictions for differential gene expression. Compared deep ensembling and batch ensembling VAE's trained on singlecell human brain and heart data.
- Trained Bayesian neural networks and VAE's in PyTorch; analyzed posteriors across random initializations. Presented methods and findings to the computational cancer biology lab.

#### **IRCAM.** Centre Pompidou

Research Fellow - Supervisors: Mikhail Malt, Jérôme Nika

- Built language models (RNNs, LSTMs) trained in PyTorch on musical data from live jazz for human-AI improvisations.
- Authored and presented a report and poster on generative music with language models at Columbia's 2020 research symposium. Authored a manuscript on stochastic models in music, published at Reid Hall's research symposium.

### PROFESSIONAL EXPERIENCE

Weill Cornell Medical, Cornell University

Research Software Engineer

- Worked on efficiency and indexing of the main NLP pipeline, using OCR to parse doctor notes into machine-readable text.
- Built an AWS-hosted ETL pipeline with Docker, Python, Java, and SQL to securely geocode address data from hospital patients, increasing geocoding accuracy and runtime efficiency by 15%.

#### **Infosys Consulting**

Business Analyst - AI & Automation

- Built and deployed an NLG model from open-source to automate 85% of credit loan risk report writing at a top 3 US bank.
- Engineered several NLP models for financial document classification, summarization, and generation in NLTK and JS.

May 2022–Nov 2022

New York, NY

New York, NY

August 2021–May 2022

New York, NY

Paris. France

Jan-Sep 2020

Jan-August 2021

• Assisted executive advising at 2 of the top 5 US banks in AI automation, chatbot implementation, and process mining. Wrote concise technical guides on each topic, shared with clients and the firm at large.

### TEACHING EXPERIENCE

#### **Princeton University**

Assistant Instructor: COS 126, COS 240 Sep. 2024–Present Weekly teaching and grading for a cohort of 20 undergraduates in coding and theory problem sets and exams. (COS 126 is an intro to Java class and COS 240 is a proof-based math class for CS majors.)

#### University of Tübingen

Teaching Assistant: Reinforcement Learning for Language Model Training Nov. 2023–Present Grading and coding support for over 50 graduate students using TensorFlow for problem sets and RL research projects.

#### CompTIA

Head Data Science Instructor Jan 2022–Present Head instructor for an online data science and coding bootcamp (Python and SQL) and assistant teacher for web development with React JS. Designed and delivered written and technical curriculum on computer science, statistics, and data analysis.

#### **Columbia University**

Teaching Assistant: Calculus IV Graded assignments and held office hours for approximately 80 students in Professor Daniela De Silva's class. Coordinated grading across sections and wrote technical guides on calculus topics.

### **Community and Outreach**

#### Journal Clubs: Meta-Learning and Mechanistic Interpretability

Organized journal clubs for post-doc and graduate students to meet bi-weekly to discuss topics in metalearning and mechanistic interpretability (supported by the Natural and Artificial Minds initiative at Princeton).

National Deep Inference Fabric (NDIF) Pilot Feb 2025 – Running mechanistic interpretability analyses on Llama 405-B with NN-sight, an NDIF-run library.

#### IICCSSS 2023 (Interdisciplinary Computational Cognitive Science Summer School) Tübingen, Germany

1st Place: Hackathon for Cognitive Modeling

September 2023 Coursework: Comparing language models to humans; computational modeling for learning; human language models

ESSLLI 2023 (European Summer School in Language, Logic & Information) Ljubljana, Slovenia Coursework: Probabilistic Language of Thought; Formal Language Theory and Neural Networks; deep language learning from raw speech; logic, data, examples, and learning

#### Center for AI Safety

Berkeley, CA (Remote) Intro to Machine Learning Safety Fellow June–August 2023 Grant-funded student. Coursework covering mechanistic interpretability, machine ethics, systemic AI safety, adversarial robustness, and existential risk from future AI systems.

### SKILLS, LANGUAGES & INTERESTS

Programming Languages: Python, Java, R, SQL, JavaScript, HTML, Bash, MATLAB

New York, NY / Remote

New York, NY

Princeton, NJ

Tübingen, Germany

Jan-May 2021

Virtual

**Technical Skills:** Machine learning, data visualization, data analysis and statistical insights, computational modeling, scientific communication, experimental design, web design, teaching and curriculum design

Spoken Languages: English (fluent); French (intermediate)

Interests: Cognitive science, running, camping, reading